1 2 3 4 5 6 7 8	DAVID D. LAWRENCE, State Bar No. 123039 dlawrence@lbaclaw.com DENNIS M. GONZALES, State Bar No. 59414 dgonzales@lbaclaw.com NATHAN A. OYSTER, State Bar No. 225307 noyster@lbaclaw.com LAWRENCE BEACH ALLEN & CHOI, PC 100 West Broadway, Suite 1200 Glendale, California 91210-1219 Telephone No. (818) 545-1925 Facsimile No. (818) 545-1937 Attorneys for Defendant Burbank Police Department Officer Gunn	
9	UNITED STATES DISTRICT COURT	
10	CENTRAL DISTRICT OF CALIFORNIA	
11		
12	PRESTON SMITH, an individual;	Case No. CV 10-8840 VBF (AGRx)
13	}	
14	Plaintiff,	Honorable Valerie Baker Fairbank
15	vs.	REPLY BRIEF IN SUPPORT OF OFFICER GUNN'S MOTION FOR JUDGMENT ON THE PLEADINGS
16 17 18 19 20	CITY OF BURBANK; BURBANK POLICE DEPARTMENT; BURBANK POLICE DEPARTMENT OFFICER GUNN; BURBANK POLICE DEPARTMENT OFFICER BAUMGARTEN; BURBANK POLICE DEPARTMENT OFFICER EDWARDS; AND DOES 1 THROUGH 100, INCLUSIVE	Date: May 16, 2011 Time: 1:30 p.m. Courtroom: 9
21 22	Defendants.	
23		
24	TO THE CLERK OF THE COURT, ALL INTERESTED PARTIES AND	
25	THEIR ATTORNEYS OF RECORD:	
26	//	
27	//	
28	//	

Defendant OFFICER GUNN (hereinafter "Officer Gunn") hereby submits the following Reply Brief of Memorandum of Points and Authorities in support of Officer Gunn's Motion for Judgment on the Pleadings. Dated: May 2, 2011 LAWRENCE BEACH ALLEN & CHOI, PC /s/ Nathan A. Oyster
Nathan A. Oyster
Attorneys for Defendant
Burbank Police Department Officer Gunn 

#### MEMORANDUM OF POINTS AND AUTHORITIES

### I. <u>INTRODUCTION.</u>

This case relates to the April 10, 2009 arrest of Plaintiff Preston Smith. Following his arrest, Plaintiff pled guilty to violating California Penal Code § 148(a)(1) – interfering with a peace officer in the lawful performance of his duties. Plaintiff also pled guilty to the possession of a controlled substance.

Plaintiff's Complaint alleges that Officer Gunn and other officers with the Burbank Police Department used excessive force against him during the course of the arrest. The Complaint is barred as a matter of law by the doctrine set forth in *Heck v. Humphrey*, because any finding in favor of Plaintiff would necessarily invalidate the Plaintiff's criminal conviction.

As discussed in detail below, Plaintiff's criminal conviction was for <u>all</u> of the events in which he interacted with Officer Gunn prior to his arrest. Plaintiff's conviction is based on his fleeing from Officer Gunn, his physical resistance to Officer Gunn and other officers from the Burbank Police Department, and Plaintiff's striking and attempts to strike officers from the Burbank Police Department. Because the criminal record is so comprehensive as to show that all of the events are subject to Plaintiff's criminal conviction, all of Plaintiff's claims are barred.

Plaintiff's opposition relies heavily on the recent Ninth Circuit opinion of *Hooper v. County of San Diego*, 629 F.3d 1127 (9th Cir. 2011). The opposition argues that the sole basis for Plaintiff's criminal conviction occurred when he ran from Burbank police officers. This contention is in direct contravention to the explicit language from the underlying criminal case, which established three specific factual bases for Plaintiff's criminal conviction. Because Plaintiff is directly challenging two of the three bases for his criminal conviction, *Hooper* does not support Plaintiff's position and the bar of *Heck v. Humphrey* applies to this action.

II.

## 

# BECAUSE THE OPPOSITION DEMONSTRATES THAT PLAINTIFF IS CHALLENGING THE BASIS FOR HIS CRIMINAL CONVICTION, ALL OF HIS CLAIMS ARE BARRED BY HECK V. HUMPHREY.

As set forth in the moving papers, "[w]hen a plaintiff who has been convicted of a crime under state law seeks damages in a § 1983 suit, the district court must consider whether a judgment in favor of the plaintiff would necessarily imply the validity of his conviction or sentence." *Hooper v. County of San Diego*, 629 F.3d 1127, 1130 (9th Cir. 2011) (internal quotations and citations omitted). "If the answer is yes, the suit is barred." *Id*.

Plaintiff's opposition relies on *Hooper*, but that case does not support Plaintiff's position. In *Hooper v. County of San Diego*, the plaintiff pled guilty to a violation of California Penal Code § 148(a)(1). *Id.* at 1129. The plaintiff was arrested on suspicion of petty theft and for possession of methamphetamines. *Id.* She did not dispute the lawfulness of her arrest, nor did she dispute that she resisted arrest. *Id.* Instead, she contended that the arresting officer used excessive force in response to her arrest. *Id.* In *Hooper*, a police dog eventually bit the plaintiff's head on two occasions during a struggle following her arrest. *Id.* 

In this action, Plaintiff is challenging the factual basis for his criminal conviction. As clearly outlined in the moving papers, there are three distinct factual bases for Plaintiff's criminal conviction. The criminal complaint specifically alleged that Plaintiff committed the following acts of resistance:

- Plaintiff ran from Officer Gunn during a lawful detention and despite orders to stop. Criminal Complaint [Ex. "A" to RFJN in support of the Motion] at 1.
- Plaintiff used elbows and hands in a fist to strike Officer Baumgarten, Officer Edwards, Officer Joel, Officer Rodriguez, and Officer Gunn

 $\begin{bmatrix} 1 \\ 2 \end{bmatrix}$ 

during the officers' attempt to lawfully restrain Plaintiff. *Id*.

• Plaintiff flailed arms and kicked legs when Officer Baumgarten, Officer Edwards, Officer Joel, Officer Rodriguez, and Officer Gunn tried to detain him. *Id.* at 1-2.

The documents in the underlying criminal file show that Plaintiff's plea was not limited in any manner. By pleading guilty to violating California Penal Code § 148(a)(1), he pled guilty to all of the facts alleged against him.

In his opposition, Plaintiff is directly challenging two of the three factual bases for the conviction. In his own declaration, Plaintiff claims that he "remained face down on the ground and [he] did not attempt to move or stand up" after he was apprehended by the officers. Declaration of Preston Smith, ¶ 3. Furthermore, he contends that "he resisted arrest by fleeing the officers" but "he was tasered even though he was under their physical control and was not resisting arrest." Opposition at 14:11-16. Because Plaintiff contends that he did nothing to violate California Penal Code § 148(a)(1) other than run from the police, he is directly challenging two of the three factual bases for his criminal conviction. Therefore, any finding in Plaintiff's favor in this litigation would necessarily imply that his criminal conviction was invalid, which warrants the dismissal of Plaintiff's claims.

# III. PLAINTIFF'S REQUEST FOR A CONTINUANCE OF THE HEARING SHOULD BE DENIED.

On February 28, 2011, the parties filed a Stipulation with the Court requesting a stay of the action due to a pending criminal investigation by the Los Angeles Sheriff's Department. Docket No. 19. In the Stipulation, the parties explained their competing position on the issue of whether the Court should hear the *Heck* motions while the case was stayed.

Defendants contended that the "Heck motions will be based upon the pleadings in this action and the court file in the underlying criminal action against

1

2

3

4

5

6

7

8

9

10

11

12

15

16

17

18

19

21

22

23

24

25

26

27

28

Plaintiff PRESTON SMITH" and "that Plaintiff does not need to conduct discovery to oppose the *Heck* motions." Docket No. 19, ¶ 9. Plaintiff contended that "the depositions of the individual Defendants must be completed before Plaintiff can oppose the *Heck* motions." *Id.*,  $\P$  10. The Court's Order contained the language proposed by Defendants, which scheduled the Motions for May 16, 2011. Additionally, the only basis for Plaintiff's Ex Parte Application is his contention that Rule 56(d) of the Federal Rules of Civil Procedure applies. Officer Gunn's Motion is a motion for judgment on the pleadings, which is brought pursuant to Rule 12(c) of the Federal Rules of Civil Procedure. The court may consider, on a motion for judgment on the pleadings, the facts alleged in the pleadings as well as those contained in judicially noticed materials. *Heliotrope* 13 Gen., Inc. v. Ford Motor Co., 189 F.3d 971, 981, n. 18 (9th Cir. 1999). Because 14 Officer Gunn's reliance on materials contained in the underlying criminal file has not converted the Motion into a Rule 56 motion, Plaintiff's request to continue the Motion for the purpose of conducting discovery should be denied. IV. CONCLUSION. For the foregoing reasons, Officer Gunn requests that the Court grant judgment on the pleadings in favor of Officer Gunn. 20 Dated: May 2, 2011 LAWRENCE BEACH ALLEN & CHOI, PC /s/ Nathan A. Ovster Attorneys for Defendant Burbank Police Department Officer Gunn